UNITED STATES DISTRICT COURT EASTERN DISTRICT OF MISSOURI EASTERN DIVISION

UNITED STATES OF AMERICA,)
Plaintiff,)
V.) No.
SPRINGFIELD ARMORY, INC., .45 CALIBER PISTOL, SERIAL NO. GM475730; and)))
ONE HUNDRED AND THIRTY-ONE ROUNDS OF .45 CALIBER AMMUNITION;)))
Defendants.)

VERIFIED COMPLAINT OF FORFEITURE IN REM

COMES NOW, Plaintiff the United States of America, by and through its attorneys, Jeffrey B. Jensen, United States Attorney for the Eastern District of Missouri, and Lindsay L. McClure-Hartman, Assistant United States Attorney, for said district, and brings this verified complaint for forfeiture in a civil action *in rem* against the defendant property, namely: one Springfield Armory, Inc., .45 Caliber Pistol, Serial No. GM475730 (hereinafter "Springfield pistol") and one hundred and thirty-one rounds of .45 caliber ammunition (hereinafter "ammunition") (collectively, the "defendant property"), and alleges as follows:

Nature of the Action and the Defendant In Rem

1. This *in rem* civil action arises from an investigation by the Bureau of Alcohol, Tobacco, Firearms and Explosives (the "ATF") of Brian Cartwright ("Cartwright") related to the unlawful possession of the defendant property in violation of Title 18, United States Code, Section 922. The defendant property is subject to forfeiture pursuant to Title 18, United States Code, Section 924 as being involved in or used in knowing violations of Title 18, United States Code,

Section 922.

Jurisdiction and Venue

- 2. This Court has jurisdiction over this action pursuant to 28 U.S.C. §§ 1345 and 1355, and 28 U.S.C. § 1395(c).
- 3. Venue is proper pursuant to 28 U.S.C. § 1355(b)(1)(A) because the acts and omissions giving rise to forfeiture took place in the Eastern District of Missouri. The defendant property was purchased and stored in the Eastern District of Missouri.
- 4. Venue is also proper pursuant to 28 U.S.C. § 1395(b) because the defendant property was seized in the Eastern District of Missouri.

Statutory Framework

- 5. Title 18, United States Code, Section 922(g)(3) makes it unlawful for a person who is an unlawful user of or addicted to any controlled substance to ship or transport in interstate or foreign commerce, or possess in or affecting commerce, any firearm or ammunition; or to receive any firearm or ammunition which has been shipped or transported in interstate or foreign commerce.
- 6. Title 18, United States Code, Section 922(d) makes it unlawful for any person to sell or otherwise dispose of any firearm or any ammunition to any person knowing or having reasonable cause to believe that such person is, *inter alia*, an unlawful user of or addicted to any controlled substance.
- 7. Pursuant to Title 18, United States Code, Section 924(d), any firearm or ammunition involved in or used in any knowing violation of Title 18, United States Code, Sections 922(a)(6) or (g), or willful violation of Title 18, United States Code, Section 922(d), shall be subject to seizure and forfeiture.

Facts Giving Rise to Forfeiture

- 8. On February 13, 2018, Cartwright was being investigated by the Bureau of Alcohol, Tobacco, Firearms and Explosives (hereinafter "ATF") for several attempted firearm purchases.
- 9. Cartwright lives with his wife, Rachel Carter (hereinafter "Carter"), at a residence in St. Louis, Missouri (the "Residence").
- 10. When ATF agents interviewed Cartwright at the Residence on February 13, 2018, Cartwright acknowledged attempting, unsuccessfully, to make several firearms purchases for himself.
- 11. Cartwright also acknowledged that he and Carter went to Cabela's, a firearms retailer, on January 3, 2018 and purchased two pistols. Cartwright further disclosed one of the two firearms was purchased for him and was lying on his bed upstairs.
- 12. When asked if there were narcotics in the house, Cartwright stated that there was marijuana in the house which he uses.
 - 13. Cartwright agreed to show officers the firearm on his bed upstairs.
- 14. The firearm on Cartwright's bed was a Springfield .45 caliber pistol, Serial Number GM475730, manufactured by Springfield Armory, Incorporated, and was shipped or transported in interstate or foreign commerce.
- 15. On the bedside table, there was a clear jar containing a green leafy substance that Cartwright identified as marijuana.
- 16. At the foot of the bed, Cartwright kept two safes. One safe contained drug paraphernalia including a scale, baggies, Adderall pills, a bag containing a green substance, and a foil wrapper containing a plant-like substance which Cartwright identified as "shrooms". When

asked whether he had a prescription for any of the drugs or medications in the safe, Cartwright said that he did not and that he purchased the drugs on the street.

- 17. The second safe contained boxes of ammunition, a receipt from Cabela's for the purchase of the Springfield .45 caliber pistol, Serial Number GM475730, U.S. currency, and gift cards.
- 18. Carter told agents that she was in possession of a firearm identified as a .380 caliber pistol, matching the second firearm purchased at Cabela's on January 3, 2018. Carter also acknowledged purchasing the .45 caliber pistol, Serial Number GM475730, for Cartwright.
- 19. When asked about the ATF Form 4473 that Carter completed before purchasing the firearms, Carter acknowledged that the form indicated federal law prohibited her from purchasing a firearm for another person.

COUNT ONE - FORFEITURE (18 U.S.C. § 924(d))

- 20. The United States incorporates by reference the allegations set forth in Paragraphs 1 to 19 above as if fully set forth herein.
- 21. Cartwright is an unlawful user of or addicted to controlled substances who knowingly possessed the defendant property, consisting of one firearm and ammunition, in violation of Title 18, United States Code, Section 922(g)(3).
- 22. As such, the defendant property is subject to forfeiture, pursuant to Title 18, United States Code, Section 924(d), as any firearm or ammunition involved in or used in any knowing violation of Title 18, United States Code, Section 922(g).

<u>COUNT TWO – FORFEITURE</u> (18 U.S.C. § 924(d))

- 23. The United States incorporates by reference the allegations set forth in Paragraphs 1 to 19 above as if fully set forth herein.
- 24. Carter provided the defendant property to Cartwright knowing and having reasonable cause to believe that Cartwright is an unlawful user of or addicted to a controlled substance in violation of Title 18, United States Code, Sections 922(d)(3).
- 25. As such, the defendant property is subject to forfeiture, pursuant to Title 18, United States Code, Section 924(d), as any firearm or ammunition involved in or used in any willful violation of Title 18, United States Code, Section 922(d).

COUNT THREE - FORFEITURE (18 U.S.C. § 924(d))

- 26. The United States incorporates by reference the allegations set forth in Paragraphs 1 to 19 above as if fully set forth herein.
- 27. Carter knowingly made false and fictitious oral and written statements to a licensed dealer of firearms that were intended and likely to deceive when she represented that she was purchasing the Springfield pistol for herself, such representation being a material fact to the lawfulness of the sale of the firearms because the firearm was actually being purchased on behalf of Cartwright, who is an unlawful user of or addicted to a controlled substance who cannot legally purchase or possess such firearms, all in violation of Title 18, United States Code, Section 922(a)(6).
- 28. As such, the defendant property is subject to forfeiture, pursuant to Title 18, United States Code, Section 924(d), as any firearm or ammunition involved in or used in any knowing violation of Title 18, United States Code, Section 922(a)(6).

Prayer for Relief

WHEREFORE, Plaintiff prays that notice issue on the defendant property as described above; that due notice be given to all parties to appear and show cause why the forfeiture should not be decreed; that a warrant of arrest in rem be issued according to law; that judgment be entered declaring that the defendant property be forfeited to the United States of America for disposition according to law; and that the United States of America be granted such other relief as this Court may deem just and proper, together with the costs and disbursements of this action.

Respectfully submitted,

JEFFREY B. JENSEN United States Attorney

/s/ Lindsay L. McClure-Hartman

LINDSAY L. MCCLURE-HARTMAN, #66070MO Assistant United States Attorney 111 South Tenth Street, 20th Floor St. Louis, Missouri 63102 **VERIFICATION**

I, Special Agent Kevin P. Schuster, hereby verify and declare under penalty of perjury that

I am a Special Agent with the Bureau of Alcohol, Tobacco, Firearms and Explosives, that I have

read the foregoing Verified Complaint in rem and know the contents thereof, and that the matters

contained in the Verified Complaint are true to my own knowledge, except that those matters

herein stated to be alleged on information and belief and as to those matters I believe them to be

true.

The sources of my knowledge and information and the grounds of my belief are the official

files and records of the United States, information supplied to me by other law enforcement

officers, as well as my investigation of this case, together with others, as a Special Agent of the

Bureau of Alcohol, Tobacco, Firearms and Explosives.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on:

Kevin P. Schuster

Special Agent

Bureau of Alcohol, Tobacco, Firearms and Explosives

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SJS 44 (Rev. 11/04)

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The JS 44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. (SEE INSTRUCTIONS ON THE REVERSE OF THE FORM.)

I. (a) PLAINTIFFS		DEFENDANTS				
(E2	of First Listed Plaintiff KCEPT IN U.S. PLAINTIFF CASES) Address, and Telephone Number)	NOTE: IN LAN	County of Residence of First Listed Defendant (IN U.S. PLAINTIFF CASES ONLY) NOTE: IN LAND CONDEMNATION CASES, USE THE LOCATION OF THE LAND INVOLVED.			
II. BASIS OF JURISD	ICTION (Place an "X" in One Box Only)	 II. CITIZENSHIP OF P	PRINCIPAL PARTIES	(Place an "X" in One Box for Plaintiff		
□ 1 U.S. Government Plaintiff	3 Federal Question (U.S. Government Not a Party)	(For Diversity Cases Only)	TF DEF 1 1	and One Box for Defendant) PTF DEF incipal Place		
☐ 2 U.S. Government Defendant	☐ 4 Diversity (Indicate Citizenship of Parties in Item III)	Citizen of Another State	1 2			
		Citizen or Subject of a Foreign Country	1 3	□ 6 □ 6		
IV. NATURE OF SUIT	(Place an "X" in One Box Only) TORTS	FORFEITURE/PENALTY	BANKRUPTCY	OTHER STATUTES		
□ 110 Insurance □ 120 Marine □ 130 Miller Act □ 140 Negotiable Instrument □ 150 Recovery of Overpayment	PERSONAL INJURY □ 310 Airplane □ 315 Airplane Product Liability □ 320 Assault, Libel & Slander □ 330 Federal Employers' Liability □ 340 Marine □ 345 Marine Product Liability □ 355 Motor Vehicle □ 355 Motor Vehicle □ 355 Motor Vehicle □ 360 Other Personal Injury CIVIL RIGHTS □ 441 Voting □ 442 Employment □ 443 Housing/ Accommodations □ 444 Welfare □ 445 Amer. w/Disabilities - Employment □ 446 Amer. w/Disabilities - Other □ 440 Other Civil Rights □ 362 Personal Injury - Med. Malpractice 365 Personal Injury - Product Liability □ 368 Asbestos Personal Injury Product Liability □ 370 Other Fraud □ 370 Other Fraud □ 370 Other Presonal	610 Agriculture 620 Other Food & Drug 625 Drug Related Seizure of Property 21 USC 881 630 Liquor Laws 640 R.R. & Truck 650 Airline Regs. 660 Occupational Safety/Health 690 Other LABOR 710 Fair Labor Standards Act 720 Labor/Mgmt. Relations 730 Labor/Mgmt. Reporting & Disclosure Act 740 Railway Labor Act 790 Other Labor Litigation 791 Empl. Ret. Inc. Security Act	☐ 422 Appeal 28 USC 158 ☐ 423 Withdrawal	□ 400 State Reapportionment □ 410 Antitrust □ 430 Banks and Banking □ 450 Commerce □ 460 Deportation □ 470 Racketeer Influenced and Corrupt Organizations □ 480 Consumer Credit □ 490 Cable/Sat TV □ 810 Selective Service □ 850 Securities/Commodities/Exchange □ 875 Customer Challenge □ 12 USC 3410 □ 890 Other Statutory Actions □ 891 Agricultural Acts □ 892 Economic Stabilization Act □ 893 Environmental Matters □ 894 Energy Allocation Act □ 895 Freedom of Information Act □ 900 Appeal of Fee Determination Under Equal Access to Justice □ 950 Constitutionality of State Statutes		
V. ORIGIN Original Proceeding Original Proceeding						
VI. CAUSE OF ACTIO		Thing (Bo not the jurisdiction	ar statutes unless arversity).			
VII. REQUESTED IN COMPLAINT:	CHECK IF THIS IS A CLASS ACTION UNDER F.R.C.P. 23	DEMAND \$	CHECK YES only JURY DEMAND:	if demanded in complaint:		
VIII. RELATED CASI IF ANY	E(S) (See instructions): JUDGE		DOCKET NUMBER			
DATE	SIGNATURE OF ATT	ORNEY OF RECORD				
FOR OFFICE USE ONLY RECEIPT #A	MOUNT APPLYING IFP	JUDGE	MAG. JUL	oge		

LS 44 Payarra (Pay, 11/04) Case: 4:18-cv-01320 Doc. #: 1-1 Filed: 08/13/18 Page: 2 of 2 PageID #: 9

INSTRUCTIONS FOR ATTORNEYS COMPLETING CIVIL COVER SHEET FORM JS 44

Authority For Civil Cover Sheet

The JS 44 civil cover sheet and the information contained herein neither replaces nor supplements the filings and service of pleading or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. Consequently, a civil cover sheet is submitted to the Clerk of Court for each civil complaint filed. The attorney filing a case should complete the form as follows:

- I. (a) Plaintiffs-Defendants. Enter names (last, first, middle initial) of plaintiff and defendant. If the plaintiff or defendant is a government agency, use only the full name or standard abbreviations. If the plaintiff or defendant is an official within a government agency, identify first the agency and then the official, giving both name and title.
- (b) County of Residence. For each civil case filed, except U.S. plaintiff cases, enter the name of the county where the first listed plaintiff resides at the time of filing. In U.S. plaintiff cases, enter the name of the county in which the first listed defendant resides at the time of filing. (NOTE: In land condemnation cases, the county of residence of the "defendant" is the location of the tract of land involved.)
- (c) Attorneys. Enter the firm name, address, telephone number, and attorney of record. If there are several attorneys, list them on an attachment, noting in this section "(see attachment)".
- **II. Jurisdiction**. The basis of jurisdiction is set forth under Rule 8(a), F.R.C.P., which requires that jurisdictions be shown in pleadings. Place an "X" in one of the boxes. If there is more than one basis of jurisdiction, precedence is given in the order shown below.

United States plaintiff. (1) Jurisdiction based on 28 U.S.C. 1345 and 1348. Suits by agencies and officers of the United States are included here.

United States defendant. (2) When the plaintiff is suing the United States, its officers or agencies, place an "X" in this box.

Federal question. (3) This refers to suits under 28 U.S.C. 1331, where jurisdiction arises under the Constitution of the United States, an amendment to the Constitution, an act of Congress or a treaty of the United States. In cases where the U.S. is a party, the U.S. plaintiff or defendant code takes precedence, and box 1 or 2 should be marked.

Diversity of citizenship. (4) This refers to suits under 28 U.S.C. 1332, where parties are citizens of different states. When Box 4 is checked, the citizenship of the different parties must be checked. (See Section III below; federal question actions take precedence over diversity cases.)

- III. Residence (citizenship) of Principal Parties. This section of the JS 44 is to be completed if diversity of citizenship was indicated above. Mark this section for each principal party.
- IV. Nature of Suit. Place an "X" in the appropriate box. If the nature of suit cannot be determined, be sure the cause of action, in Section VI below, is sufficient to enable the deputy clerk or the statistical clerks in the Administrative Office to determine the nature of suit. If the cause fits more than one nature of suit, select the most definitive.
- V. Origin. Place an "X" in one of the seven boxes.

Original Proceedings. (1) Cases which originate in the United States district courts.

Removed from State Court. (2) Proceedings initiated in state courts may be removed to the district courts under Title 28 U.S.C., Section 1441. When the petition for removal is granted, check this box.

Remanded from Appellate Court. (3) Check this box for cases remanded to the district court for further action. Use the date of remand as the filing date.

Reinstated or Reopened. (4) Check this box for cases reinstated or reopened in the district court. Use the reopening date as the filing date.

Transferred from Another District. (5) For cases transferred under Title 28 U.S.C. Section 1404(a). Do not use this for within district transfers or multidistrict litigation transfers.

Multidistrict Litigation. (6) Check this box when a multidistrict case is transferred into the district under authority of Title 28 U.S.C. Section 1407. When this box is checked, do not check (5) above.

Appeal to District Judge from Magistrate Judgment. (7) Check this box for an appeal from a magistrate judge's decision.

- VI. Cause of Action. Report the civil statute directly related to the cause of action and give a brief description of the cause. **Do not cite jurisdictional statutes unless diversity**. Example: U.S. Civil Statute: 47 USC 553
 Brief Description: Unauthorized reception of cable service
- VII. Requested in Complaint. Class Action. Place an "X" in this box if you are filing a class action under Rule 23, F.R.Cv.P.

Demand. In this space enter the dollar amount (in thousands of dollars) being demanded or indicate other demand such as a preliminary injunction.

Jury Demand. Check the appropriate box to indicate whether or not a jury is being demanded.

VIII. Related Cases. This section of the JS 44 is used to reference related pending cases if any. If there are related pending cases, insert the docket numbers and the corresponding judge names for such cases.

Date and Attorney Signature. Date and sign the civil cover sheet.

UNITED STATES DISTRICT COURT EASTERN DISTRICT OF MISSOURI

,) plaintiff,) v.) v.) defendant.)	Case No.
ORIGINAL FILI	NG FORM
THIS FORM MUST BE COMPLETED AND VERINITIATING A NEW CASE.	RIFIED BY THE FILING PARTY WHEN
THIS CAUSE, OR A SUBSTANTIALLY EQUI	VALENT COMPLAINT, WAS
PREVIOUSLY FILED IN THIS COURT AS CASE	NUMBER
AND ASSIGNED TO THE HONORABLE JUDGE	
NEITHER THIS CAUSE, NOR A SUBSTANTI	ALLY EQUIVALENT COMPLAINT,
PREVIOUSLY HAS BEEN FILED IN THIS COUR	T, AND THEREFORE MAY BE
OPENED AS AN ORIGINAL PROCEEDING.	
The undersigned affirms that the information pro	vided above is true and correct.
Date:	Signature of Filing Party

UNITED STATES DISTRICT COURT EASTERN DISTRICT OF MISSOURI EASTERN DIVISION

UNITED STATES OF AMERICA,)	
)	
Plaintiff,)	
)	
V.)	No.
)	
SPRINGFIELD ARMORY, INC., .45 CALIBER)	
PISTOL, SERIAL NO. GM475730;)	
)	
Defendant.)	

WARRANT FOR ARREST OF PROPERTY

TO: THE UNITED STATES MARSHAL AND/OR ANY OTHER DULY AUTHORIZED LAW ENFORCEMENT OFFICER FOR THE EASTERN DISTRICT OF MISSOURI

Whereas, on August 13, 2018, the United States of America filed a Verified Complaint for Civil Forfeiture in the United States District Court for the Eastern District of Missouri, against the above-named defendant property, alleging that said property is subject to seizure and civil forfeiture to the United States for the reasons mentioned in the complaint; and

WHEREAS, the defendant property is currently in the possession, custody, or control of the United States; and

WHEREAS, in these circumstances, Supplemental Rule G(3)(b)(i) directs the Clerk of the Court to issue an arrest warrant in rem for the arrest of the defendant property; and

WHEREAS, Supplemental Rule G(3)(c) provides that the warrant of arrest in rem must be delivered to a person or organization authorized to execute it;

NOW THEREFORE, you are hereby commanded to arrest the above-named defendant property by serving a copy of this warrant on the custodian in whose possession, custody, or

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control the property is presently found, and to use whatever means may be appropriate to protect and maintain it in your custody until further order of this Court,

YOU ARE FURTHER COMMANDED, promptly after execution of this process, to file the same in this Court with your return thereon, identifying the individuals upon whom copies were served and the manner employed.

GREGORY J. LINHARES, CLERK United States District Court

By:			
Ū	Deputy Clerk		
Date:	:		

UNITED STATES DISTRICT COURT EASTERN DISTRICT OF MISSOURI EASTERN DIVISION

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V.)	No.
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control the property is presently found, and to use whatever means may be appropriate to protect and maintain it in your custody until further order of this Court,

YOU ARE FURTHER COMMANDED, promptly after execution of this process, to file the same in this Court with your return thereon, identifying the individuals upon whom copies were served and the manner employed.

GREGORY J. LINHARES, CLERK United States District Court

By:			
·	Deputy Clerk		
Date): 		